

Projective Space in Synthetic Algebraic Geometry

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Introduction

Grothendieck advocated for a functor of points approach to schemes early on in his project of foundation of algebraic geometry (see the introduction of [EGAI]). In this approach, a scheme is defined as a special kind of (covariant) set valued functor on the category of commutative rings. This functor should in particular be a sheaf w.r.t. the Zariski topology. As a typical example, the projective space \mathbb{P}^n is the functor, which to a ring A , associates the set of finitely presented sub-modules of A^{n+1} , which are direct factors [DG70; EH00; Jan87].

In the 70s, Anders Kock suggested to use the language of higher-order logic [Chu40] to describe the Zariski topos, the collection of sheaves for the Zariski topology [Koc; KR77]. This allows for a more suggestive and geometrical description of schemes, that can now be seen as a special kind of types satisfying some properties. Morphisms of schemes in this setting are just general maps. There is in particular a “generic local ring” R , which associates to A its underlying set. As described in [KR77] the projective space \mathbb{P}^n is then the set of lines in R^{n+1} .

A natural question is if we can show in this setting that the automorphism group of \mathbb{P}^n is $\mathrm{PGL}_{n+1}(R)$. More generally, can we show that any map $\mathbb{P}^n \rightarrow \mathbb{P}^m$ is given by $m+1$ homogeneous polynomials of same degree in $n+1$ variables? From this, it is possible to deduce the corresponding result about \mathbb{P}^n defined as a functor of points (but the maps are now *natural transformations*) or about \mathbb{P}^n defined as a scheme (but the maps are now *maps of schemes*). While this result is a basic text book in the case of projective space over a field, the general case is more subtle. (This general result, though fundamental, is not in [Har77] for instance.) One goal of this paper is to present such a proof.

In [CCH23], we presented an axiomatisation of the Zariski *higher topos* [Lur09], using instead of the language of higher-order logic the language of dependent type theory with univalence [Pro13]. The first axiom is that we have a local ring R . We then define an affine scheme to be a type of the form $\mathrm{Spec}(A) = \mathrm{Hom}_{R\text{-Alg}}(A, R)$ for some finitely presented R -algebra A . The second axiom, inspired from the work of Ingo Blechschmidt [Ble17], states that the evaluation map $A \rightarrow R^{\mathrm{Spec}(A)}$ is a bijection. The last axiom states that each $\mathrm{Spec}(A)$ satisfies some form of local choice [CCH23]. We can then define a notion of *open* proposition, with the corresponding notion of open subset, and define a scheme as a type covered by a finite number of open subsets that are affine schemes. In particular, we define \mathbb{P}^n as in [KR77] and show that it is a scheme. In this setting, dependent type theory with univalence extended with these 3 axioms, we show the above result about maps between \mathbb{P}^n and \mathbb{P}^m and the result about automorphisms of \mathbb{P}^n .

Interestingly, though these results are about the Zariski 1-topos, the proof makes use of types that are not (homotopy) sets (in the sense of [Pro13]), since it proceeds in characterizing $\mathbb{P}^n \rightarrow K(R^\times, 1)$, where $K(R^\times, 1)$ is the delooping (thus a type which is not a set) of the multiplicative group of units of R . More technically, we also use such higher types as an alternative to the technique of Quillen patching [Qui76; LQ15; Lam06].

1 Definition of \mathbb{P}^n and some linear algebra

We follow the notations and setting for Synthetic Algebraic Geometry [CCH23]. In particular, R denotes the generic local ring and R^\times is the multiplicative group of units of R .

In Synthetic Algebraic Geometry, a scheme is defined as a set satisfying some property [CCH23]. In particular the projective space \mathbb{P}^n can be defined to be the quotient of $R^{n+1} \setminus \{0\}$ by the equivalence relation $a \sim b$ which expresses that a and b are proportional, which is equal to $\Sigma_{r:R^\times} ar = b$. We can then prove [CCH23] that this set is a scheme. This definition goes back to [Koc].

In this setting, a map of schemes is simply an arbitrary set theoretic map. An application of this work is to show that the maps $\mathbb{P}^n \rightarrow \mathbb{P}^m$ are given by $m + 1$ homogeneous polynomials of the same degree in $n + 1$ variables.

There is another definition of \mathbb{P}^n which uses “higher” notions. Let $K(R^\times, 1)$ be the delooping of R^\times . It can be defined as the type of lines $\Sigma_{M:R\text{-Mod}} \|M = R^1\|$. Over $K(R^\times, 1)$ we have the family of sets

$$T_n(l) = l^{n+1} \setminus \{0\}$$

Note that we use the same notation for an element $l : K(R^\times, 1)$, its underlying R -module and its underlying set. An equivalent definition of \mathbb{P}^n is then

$$\mathbb{P}^n = \sum_{l:K(R^\times,1)} T_n(l)$$

That is, we replaced the quotient, here a set of orbits for a free group action, by a sum type over the delooping of this group [Bez+]. More explicitly, we will use the following identifications:

Remark 1.1 Projective n -space \mathbb{P}^n is given by the following equivalent constructions of which we prefer the first in this article:

- (i) $\sum_{l:K(R^\times,1)} T_n(l)$
- (ii) The set-quotient $R^{n+1} \setminus \{0\}/R^\times$, where R^\times acts on non-zero vectors in R^{n+1} by multiplication.
- (iii) For any k and R -module V we define the *Grassmannian*

$$\text{Gr}(k, V) \equiv \{U \subseteq V \mid U \text{ is an } R\text{-submodule and } \|U = R^k\| \}.$$

Projective n -space is then $\text{Gr}(1, R^{n+1})$.

We use the following, well-defined identifications:

- (i)→(iii): Map (l, s) to $R \cdot (us_0, \dots, us_n)$ where $u : l = R^1$
- (iii)→(i): Map $L \subseteq R^{n+1}$ to (R^1, x) for a non-zero $x \in L$
- (ii)↔(iii): A line through a non-zero $x : R^{n+1}$ is identified with $[x] : R^{n+1} \setminus \{0\}/R^\times$

We construct the standard line bundles $\mathcal{O}(d)$ for all $d \in \mathbb{Z}$, which are classically known as *Serre’s twisting sheaves* on \mathbb{P}^n as follows:

Definition 1.2 For $d : \mathbb{Z}$, the line bundle $\mathcal{O}(d) : \mathbb{P}^n \rightarrow K(R^\times, 1)$ is given by $\mathcal{O}(d)(l, s) = l^{\otimes d}$ and the following definition of $l^{\otimes d}$ by cases:

- (i) $d \geq 0$: $l^{\otimes d}$ using the tensor product of R -modules
- (ii) $d < 0$: $(l^\vee)^{-d}$, where $l^\vee \equiv \text{Hom}_{R\text{-Mod}}(l, R^1)$ is the dual of l .

This definition of $\mathcal{O}(d)$ agrees with [CCH23][Definition 6.3.2] where $\mathcal{O}(-1)$ is given on $\text{Gr}(1, R^{n+1})$ by mapping submodules of R^{n+1} to $K(R^\times, 1)$. Using the identification of \mathbb{P}^n from Remark 1.1 we can give the following explicit equality:

Remark 1.3 We have a commutative triangle:

$$\begin{array}{ccc} \sum_{l:K(R^\times,1)} T_n(l) & \xrightarrow{\quad\quad\quad} & R^{n+1} \setminus \{0\}/R^\times \\ & \searrow \mathcal{O}(1) & \swarrow \mathcal{O}(1) \\ & & K(R^\times, 1) \end{array}$$

by the isomorphism given for (l, s) by mapping $x : l$ to $r(us_0, \dots, us_n) \mapsto r(ux)$ for some isomorphism $u : l \cong R^1$.

Connected to this definition of \mathbb{P}^n , we will prove some equalities in the following. To prove these equalities, we will make use of the following lemma, which holds in synthetic algebraic geometry:

Lemma 1.4 Let $n, d : \mathbb{N}$ and $\alpha : R^n \rightarrow R$ be a map such that

$$\alpha(\lambda x) = \lambda^d \alpha(x)$$

then α is a homogenous polynomial of degree d .

Proof By duality, any map $\alpha : R^n \rightarrow R$ is a polynomial. To see it is homogenous of degree d , let us first note that any $P : R[\lambda]$ with $P(\lambda) = \lambda^d P(1)$ for all $\lambda : R^\times$ also satisfies this equation for all $\lambda : R$ and is therefore homogenous of degree d . Then for $\alpha'_x : R[\lambda]$ given by $\alpha'_x(\lambda) \equiv \alpha(\lambda \cdot x)$ we have $\alpha'_x(\lambda) = \lambda^d \alpha'_x(1)$. This means any coefficient of α'_x of degree different from d is 0. Since this means every monomial appearing in α , which is not of degree d , is zero for all x and therefore 0. \square

Proposition 1.5

$$\prod_{l:K(R^\times,1)} l^n \rightarrow l = \text{Hom}(R^n, R)$$

Proof We rewrite $\text{Hom}(R^n, R)$, the set of R -module morphism, as

$$\sum_{\alpha:R^n \rightarrow R} \prod_{\lambda:R^\times} \prod_{x:R^n} \alpha(\lambda x) = \lambda \alpha(x)$$

using Lemma 1.4 with $d = 1$.

It is then a general fact that if we have a pointed connected groupoid (A, a) and a family of sets $T(x)$ for $x : A$, then $\prod_{x:A} T(x)$ is the set of fixedpoints of $T(a)$ for the $(a = a)$ action [Bez+]. \square

We will use the following remark, proved in [CCH23][Remark 6.2.5].

Lemma 1.6 Any map $R^{n+1} \setminus \{0\} \rightarrow R$ can be uniquely extended to a map $R^{n+1} \rightarrow R$ for $n > 0$.

We will also use the following proposition, already noticed in [CCH23].

Proposition 1.7 Any map from \mathbb{P}^n to R is constant.

Proof Since \mathbb{P}^n is a quotient of $R^{n+1} \setminus \{0\}$, the set $\mathbb{P}^n \rightarrow R$ is the set of maps $\alpha : R^{n+1} \setminus \{0\} \rightarrow R$ such that $\alpha(\lambda x) = \alpha(x)$ for all λ in R^\times . These are exactly the constant maps using Lemma 1.6 and Lemma 1.4 with $d = 0$. \square

Proposition 1.8 For all $n : \mathbb{N}$ we have:

$$\prod_{l:K(R^\times,1)} T_n(l) \rightarrow T_n(l) = \text{GL}_{n+1}$$

Proof For $n = 0$, this is the direct computation that a Laurent-polynomial $\alpha : (R[X, 1/X])^\times$ which satisfies $\alpha(\lambda x) = \lambda \alpha(x)$ is $\lambda \alpha(1)$ where $\alpha(1) : R^\times = \text{GL}_1$.

For $n > 0$, the proposition follows from two remarks.

The first remark is that maps $T_n(R) \rightarrow T_n(R)$, which are invariant under the induced $K(R^\times, 1)$ action, are linear. To prove this remark, we first map from $T_n(l) \rightarrow T_n(l)$ to $T_n(l) \rightarrow l^{n+1}$ by composing with the inclusion. Maps of the latter kind can be uniquely extended to maps $l^{n+1} \rightarrow l^{n+1}$, since by Lemma 1.6 the restriction map

$$(l^{n+1} \rightarrow l) \rightarrow ((l^{n+1} \setminus \{0\}) \rightarrow l)$$

is a bijection for $n > 0$ and all $l : K(R^\times, 1)$.

The second remark is that a linear map $u : R^m \rightarrow R^m$ such that

$$x \neq 0 \rightarrow u(x) \neq 0$$

is exactly an element of GL_m .

We show this by induction on m . For $m = 1$ we have $u(1) \neq 0$ iff $u(1)$ invertible.

For $m > 1$, we look at $u(e_1) = \sum \alpha_i e_i$ with e_1, \dots, e_m basis of R^m . We have that some α_j is invertible. By composing u with an element in GL_m , we can then assume that $u(e_1) = e_1 + v_1$ and $u(e_i) = v_i$, for $i > 1$, with v_1, \dots, v_m in $Re_2 + \dots + Re_m$. We can then conclude by induction. \square

We can generalize Proposition 1.5 and get a result related to Proposition 1.8 as follows.

Lemma 1.9 (i)

$$\prod_{l:K(R^\times,1)} l^n \rightarrow l^{\otimes d} = (R[X_1, \dots, X_n])_d$$

That is, every element of the left-hand side is given by a unique homogeneous polynomial of degree d in n variables.

(ii) An element in

$$\prod_{l:K(R^\times,1)} T_n(l) \rightarrow T_m(l^{\otimes d})$$

is given by $m + 1$ homogeneous polynomials $p = (p_0, \dots, p_m)$ of degree d such that $x \neq 0$ implies $p(x) \neq 0$.

Proof We show the first item. Following [Bez+] again, this product is the set of maps $\alpha : R^n \rightarrow R^{\otimes d}$ which are invariant by the R^\times -action which in this case acts by mapping α to $r^d \alpha(r^{-1}x)$ for each $r : R^\times$. So by Lemma 1.4 these are exactly the maps given by homogeneous polynomials of degree d . \square

2 Line bundles on affine schemes

A line bundle on a type X is a map $X \rightarrow K(R^\times, 1)$.

A line bundle L on $\text{Spec}(A)$ will define a f.p. A -module $\prod_{x:\text{Spec}(A)} L(x)$ [CCH23]. It is presented by a matrix P . Since this f.p. module is locally free, we can find Q such that $PQP = P$ and $QPQ = Q$ [LQ15]. We then have $\text{Im}(P) = \text{Im}(PQ)$ and this is a projective module of rank 1. We can then assume P square matrix and $P^2 = P$ and the matrix $I - P$ can be seen as listing the generators of this module.

If M is a matrix we write $\Delta_l(M)$ for the ideal generated by the $l \times l$ minors of M . We have $\Delta_1(I - P) = 1$ and $\Delta_2(I - P) = 0$, since this projective module is of rank 1.

The module is free exactly if we can find a column vector X and a line vector Y such that $XY = I - P$. We then have $YX = 1$, since if $r = YX$ we have $I - P = XYXY = rXY = r(I - P)$ and hence $r = 1$ since $\Delta_1(I - P) = 1$.

The line bundle on $\text{Spec}(A)$ is trivial on $D(f)$ if, and only if, the module $M \otimes A[1/f][X]$ is free, which is equivalent to the fact that we can find X and Y such that $YX = (f^N)$ and $XY = f^N(I - P)$ for some N .

In Appendix 1, we prove the following special case of Horrocks' Theorem.

Lemma 2.1 If A is a commutative ring then any ideal of $A[X]$ divides a principal ideal (f) , with f monic, is itself a principal ideal.

We can then apply this result in Synthetic Algebraic Geometry for the ring R .

Proposition 2.2 If we have $L : \mathbb{A}^1 \rightarrow K(R^\times, 1)$ which is trivial on some $D(f)$ where f in $R[X]$ is monic then L is trivial on \mathbb{A}^1 .

Corollary 2.3 If we have $L : \mathbb{P}^1 \rightarrow K(R^\times, 1)$ then we have

$$\|\prod_{r:R} L([1 : r]) = L([1 : 0])\| \quad \|\prod_{r:R} L([r : 1]) = L([0 : 1])\|$$

Proof By Zariski local choice [CCH23], the line bundle L is locally trivial. On one chart of \mathbb{P}^1 , L is trivial on a neighborhood U of 0, so we get $g : R[X]$ such that $g(0) \neq 0$ and L is trivial on $D(g)$. Passing to the other chart, there is some N such that $f \equiv f(0)^{-1} \cdot g(1/X) \cdot X^N$ is a monic polynomial and L is trivial on $D(f)$, since $D(f) \subseteq U$. \square

3 Picard group of \mathbb{P}^1

Lemma 3.1 Let A be a *connected*¹ ring, then an invertible element of $A[X, 1/X]$ can be written $X^N \sum a_n X^n$ with N in \mathbb{Z} and a_0 unit and a_n nilpotent if $n \neq 0$.

Proof Let $P = \sum_i a_i X^i : A[X, 1/X]$ be invertible. The result is clear if A is an integral domain. Let $B(A)$ is the constructible spectrum of A with the two generating maps $D(a)$ and $V(a)$ for a in A [**LQ**]. The argument for an integral domain, looking at $D(a)$ as $a \neq 0$ and $V(a)$ as $a = 0$, shows that we have $\sup_i D(a_i) = 1$ and $D(a_i a_j) = 0$ for $i \neq j$. Since A is connected, this implies that exactly one a_i is a unit, and all the other coefficient are nilpotent. \square

Using this Lemma we deduce the following.

Lemma 3.2 Any invertible element of $A[X, 1/X]$ can be written uniquely as a product $uX^l(1+a)(1+b)$ with l in \mathbb{Z} , u in A^\times and a (resp. b) polynomial in $A[X]$ (resp. $1/XA[1/X]$) with only nilpotent coefficients.

Proof Write $\sum v_n X^n$ the invertible element of $A[X, 1/X]$. W.l.o.g. we can assume that the polynomial is of the form $1 + \sum v_n X^n$ with all v_n , $n \in \mathbb{Z}$ nilpotent. We let J be the ideal generated by these nilpotent elements. We have some N such that $J^N = 0$.

We first multiply by the inverse of $1 + \sum_{n < 0} v_n X^n$, making all coefficients of X^n , $n < 0$ in J^2 . We keep doing this until all these elements are 0. We have then written the invertible polynomials on the form $(1+a)(1+b)$.

Such a decomposition is unique: if we have $(1+a)(1+b)$ in A^\times with $a = \sum_{n \geq 0} a_n X^n$ and $b = \sum_{n < 0} b_n X^n$ then we have $a_n = 0$ for $n > 0$ and $b_n = 0$ for $n < 0$. \square

Corollary 3.3 We have $\prod_{L: \mathbb{P}^1 \rightarrow K(R^\times, 1)} \sum_{p: \mathbb{Z}} \|L = \mathcal{O}(p)\|$

Proof A line bundle $L([x_0, x_1])$ on \mathbb{P}^1 is trivial on each of the affine charts $x_0 \neq 0$ and $x_1 \neq 0$ by Corollary 2.3, so it is characterised by an invertible Laurent polynomial on R , and the result follows from Lemma 3.2. \square

We can then state the following strengthening.

Proposition 3.4 The map $K(R^\times, 1) \times \mathbb{Z} \rightarrow (\mathbb{P}^1 \rightarrow K(R^\times, 1))$ which associates to (l_0, d) the map $x \mapsto l_0 \otimes \mathcal{O}(d)(x)$ is an equivalence.

Proof Corollary 3.3 shows that this map is surjective. So we can conclude by showing that the map is also an embedding. For $(l, d), (l', d') : K(R^\times, 1) \times \mathbb{Z}$ let us first consider the case $d = d'$. Then we merely have $(l, d) = (*, d)$ and $(l', d') = (*, d)$, so it is enough to note that the induced map on loop spaces based at $(*, d)$ is an equivalence by Proposition 1.7. Now let $d \neq d'$. To conclude we have to show $\mathcal{O}(k)$ is different from $\mathcal{O}(0)$ for $k \neq 0$. It is enough to show that for $k > 0$ the bundle $\mathcal{O}(k)$ has at least two linear independent sections, since we know $\mathcal{O}(0)$ only has constant sections by Proposition 1.7. This follows from the fact that $\mathcal{O}(k)(x)$ is $\text{Hom}_{R\text{-Mod}}(Rx^{\otimes k}, R)$ and has all projections as sections. \square

It is a curious remark that $K(R^\times, 1) \rightarrow K(R^\times, 1)$ is also equivalent to $K(R^\times, 1) \times \text{Hom}_{\text{Group}}(R^\times, R^\times) = K(R^\times, 1) \times \mathbb{Z}$.

Corollary 3.5 We have $\prod_{L: \mathbb{P}^1 \rightarrow K(R^\times, 1)} \prod_{x: R} L([1 : x]) = L([0 : 1])$.

Proof By the equivalence in Proposition 3.4, we have

$$\prod_{L: \mathbb{P}^1 \rightarrow K(R^\times, 1)} \prod_{x: \mathbb{P}^1} L(x) = l_0 \otimes \mathcal{O}(d)(x)$$

for some (l_0, d) corresponding to L . $\mathcal{O}(d)([0 : 1])$ can be identified with R^1 and $\mathcal{O}(d)$ is trivial on R , so we have $L([1 : x]) = l_0 = L([0 : 1])$ for all $x : R$. \square

¹If $e(1-e) = 0$ then $e = 0$ or $e = 1$.

4 Line bundles on \mathbb{P}^n

We will prove $\text{Pic}(\mathbb{P}^n) = \mathbb{Z}$ and a strengthening thereof in this section by mostly algebraic means. In Section 5 we will give a shorter geometric proof.

We can now reformulate Quillen's argument for Theorem 2' [Qui76] in our setting.

Proposition 4.1 For all $V : \mathbb{P}^n \rightarrow K(R^\times, 1)$ we have $\prod_{s:R^n} V([1 : s]) = V([0 : 1 : 0 : \dots : 0])$.

Proof We define $L : R^{n-1} \rightarrow (\mathbb{P}^1 \rightarrow K(R^\times, 1))$ by $L t [x_0 : x_1] = V([x_0 : x_1 : x_0 t])$. Let $s = (s_1, \dots, s_n) : R^n$. We apply Corollary 3.5 and we get

$$V([1 : s]) = L(s_2, \dots, s_n) [1 : s_1] = L(s_2, \dots, s_n) [0 : 1] = V([0 : 1 : 0 : \dots : 0]). \quad \square$$

Note that the use of Corollary 3.5 replaces the use of the "Quillen patching" [LQ15] introduced in [Qui76].

Let T be the ring of polynomials $u = \sum_p u(p) X^p$ with $X^p = X_0^{p_0} \dots X_n^{p_n}$ with $\sum p_i = 0$. We write T_l for the subring of T which contains only monomials X^p with $p_i \geq 0$ if $i \neq l$ and T_{lm} the subring of T which contains only monomials X^p with $p_i \geq 0$ if $i \neq l$ and $i \neq m$.

Note that T_l is the polynomial ring $T_l = R[X_0/X_l, \dots, X_n/X_l]$.

A line bundle on \mathbb{P}^n is given by compatible line bundles on each $\text{Spec}(T_l)$.

By Proposition 4.1, a line bundle on \mathbb{P}^n is trivial on each $\text{Spec}(T_l)$. So it is determined by t_{ij} invertible in $T_i[X_i/X_j] = T_j[X_j/X_i] = T_{ij}$ such that $t_{ik} = t_{ij}t_{jk}$ and $t_{ii} = 1$. Using Lemma 3.1 we can assume without loss of generality, that $t_{ij} = (X_i/X_j)^{N_{ij}} u_{ij}$, for some N_{ij} in \mathbb{Z} , where $u_{ij}(p)$ is invertible for $p = 0$ and all other coefficients $u_{ij}(p)$ for $p \neq 0$ are nilpotent. By looking at the relation $t_{ik} = t_{ij}t_{jk}$ when we quotient by nilpotent elements, we see that $N_{ij} = N$ does not depend on i, j . The result $\text{Pic}(\mathbb{P}^n) = \mathbb{Z}$ will then follow from the following result.

Proposition 4.2 There exists s_i invertible in T_i such that $u_{ij} = s_i/s_j$

Proof Each u_{ij} is such that $u_{ij}(p)$ unit for $p = 0$ and all $u_{ij}(p)$ nilpotent for $p \neq 0$.

Like in the proof of Lemma 3.2, we can change u_{01} so that we have $u_{01}(p) = 0$ if $p \neq 0$ and $p_0 \geq 0$ or $p_1 \geq 0$ by multiplying u_{01} by a unit in T_0 and a unit in T_1 . Let us show for instance how to force $u_{01}(p) = 0$ if $p \neq 0$ and $p_1 \geq 0$ by multiplying u_{01} by a unit in T_0 . Let M be the ideal generated by $u_{01}(p)$ for $p \neq 0$, which is a nilpotent ideal. If we multiply u_{01} by $u_{01}(0) - \sum_{p_1 \geq 0} u_{01}(p)$ we change u_{01} to u'_{01} where all $u'_{01}(p)$, for $p_1 \geq 0$ and $p \neq 0$, are in M^2 . We iterate this process and since M is nilpotent, we force $u_{01}(p) = 0$ or $p \neq 0$ and $p_1 \geq 0$.

We can thus assume that $u_{01}(p) = 0$ if $p \neq 0$ and $p_0 \geq 0$ or $p_1 \geq 0$.

We claim then that, in this case, u_{01} has to be a unit. For this we show that $u_{01}(p) = 0$ if $p_l > 0$ for each $l \neq 0, 1$. This is obtained by looking at the relation $u_{01} = u_{0l}u_{l1}$. Let L be the ideal generated by coefficients $u_{0l}(p)$ and $u_{l1}(p)$ with $p_l > 0$ and I the ideal generated by all nilpotent coefficients of u_{0l} and u_{l1} . Thanks to the form of u_{01} we must have $L \subseteq LI$ and so $L = 0$ by Nakayama. Indeed we have

$$u_{01}(p) = u_{0l}(p)u_{l1}(0) + u_{0l}(0)u_{l1}(p) + \sum_{q+r=p, q \neq 0, r \neq 0} u_{0l}(q)u_{l1}(r)$$

and we use this to show that $u_{0l}(p)$ is in LI . Since $p_l > 0$, we have $u_{0l}(p) = 0$ if $p_0 \geq 0$, hence we can assume $p_0 < 0$. We also have $u_{0l}(p)$ if $p_1 < 0$ and we can assume $p_1 \geq 0$. This implies $u_{l1}(p) = 0$ (since $p_0 < 0$) and $u_{01}(p) = 0$ (since $p_0 < 0$ and $0 \leq p_1$). We get thus

$$u_{0l}(p)u_{l1}(0) = -\sum_{q+r=p, q \neq 0, r \neq 0} u_{0l}(q)u_{l1}(r)$$

and each member in the sum $u_{0l}(q)u_{l1}(r)$ is in LI since $q_l + r_l = p_l > 0$ and hence $q_l > 0$ or $r_l > 0$.

We thus deduce $L = 0$ by Nakayama. We get, for $p_l > 0$

$$u_{01}(p) = u_{0l}(p)u_{l1}(0) + u_{0l}(0)u_{l1}(p)$$

and if $p_0 < 0$ and $p_1 < 0$ we have $u_{0l}(p) = u_{l1}(p) = 0$.

This implies that all coefficients $u_{01}(p)$ such that $p_l > 0$ are 0.

Since this holds for each $l > 1$ we have that u_{01} is a unit in R .

W.l.o.g. we can assume $u_{01} = 1$. We then have $u_{0l} = u_{l1}$ in $T_{0l} \cap T_{l1} = T_l$ and we take $s_l = u_{0l} = u_{l1}$. \square

Corollary 4.3 $\text{Pic}(\mathbb{P}^n) = \mathbb{Z}$.

We can then strengthen this result, with the same reasoning as in Proposition 3.4.

Theorem 4.4

The map $K(R^\times, 1) \times \mathbb{Z} \rightarrow (\mathbb{P}^n \rightarrow K(R^\times, 1))$ which associates to l_0, d the map $x \mapsto l_0 \otimes \mathcal{O}(d)(x)$ is an equivalence.

We deduce from this a characterisation of the maps $\mathbb{P}^n \rightarrow \mathbb{P}^m$.

Corollary 4.5 A map $\mathbb{P}^n \rightarrow \mathbb{P}^m$ is given by $m + 1$ homogeneous polynomials $p = (p_0, \dots, p_m)$ on R^{n+1} of the same degree d such that $x \neq 0$ implies $p(x) \neq 0$.

Proof Write $T_n(l)$ for $l^{n+1} \setminus \{0\}$. We have $\mathbb{P}^n = \Sigma_{l:K(R^\times, 1)} T_n(l)$ and so

$$\mathbb{P}^n \rightarrow \mathbb{P}^m = \sum_{s: \mathbb{P}^n \rightarrow K(R^\times, 1)} \prod_{x: \mathbb{P}^n} T_m(s x)$$

Using Theorem 4.4, this is equal to

$$\sum_{l_0: K(R^\times, 1)} \sum_{d: \mathbb{Z}} \prod_{l: K(R^\times, 1)} T_n(l) \rightarrow T_m(l_0 \otimes l^{\otimes d})$$

and, as for Lemma 1.9, this is the set of tuples of $m + 1$ polynomials in $R[X_0, \dots, X_n]$ homogenous of degree d , sending $x \neq 0$ to $p(x) \neq 0$, and quotiented by proportionality. \square

We deduce the characterisation of $\text{Aut}(\mathbb{P}^n)$. This is a remarkable result, since the automorphisms are in this framework only bijections of sets.

Corollary 4.6 $\text{Aut}(\mathbb{P}^n)$ is PGL_{n+1} .

We also have the following application of computation of cohomology groups [CCH23].

Corollary 4.7 A function $\mathbb{P}^n \rightarrow \mathbb{P}^m$ is constant if $n > m$.

Proof We proved in [BCW23] that cohomology groups can be computed as Čech cohomology for any finite open acyclic covering and used this to prove $H^n(\mathbb{P}^n, \mathcal{O}(-n-1)) = R$. By Corollary 4.5, a map $\mathbb{P}^n \rightarrow \mathbb{P}^m$ is given by $m + 1$ non zero polynomials $p(x) = (p_0(x), \dots, p_m(x))$ homogeneous of the same degree $d \geq 0$ and such that $x \neq 0$ implies $p(x) \neq 0$. This means that \mathbb{P}^n is covered by $m + 1$ open subsets $U_i(x)$ defined by $p_i(x) \neq 0$. I claim that we should have $d = 0$.

If $q(x)$ is a non zero homogeneous polynomial of degree $d > 0$, the open $q(x) \neq 0$ defines an *affine* and hence acyclic [BCW23], open subset of \mathbb{P}^n (see e.g. Exercise 3.5 in [Har77]). It follows that the covering U_0, \dots, U_m is acyclic if $d > 0$. But this contradicts $H^n(\mathbb{P}^n, \mathcal{O}(-n-1)) = R$.

Hence $d = 0$ and the map is constant. \square

5 A geometric proof of $\text{Pic}(\mathbb{P}^n) = \mathbb{Z}$

A geometric property of \mathbb{P}^n :

Lemma 5.1 Let $n > 1$ and $p \neq q$ be points of \mathbb{P}^n , then all functions

- (i) $\mathbb{P}^n \setminus \{p\} \rightarrow \mathbb{Z}$
- (ii) $\mathbb{P}^n \setminus \{p, q\} \rightarrow \mathbb{Z}$
- (iii) $\mathbb{P}^n \setminus \{p\} \rightarrow R$
- (iv) $\mathbb{P}^n \setminus \{p, q\} \rightarrow R$

are constant.

Proof We start with (iv). Let $f : \mathbb{P}^n \setminus \{p, q\} \rightarrow R$. For the charts $U_0 = \{[x_0 : \dots : x_n] \mid x_0 \neq 0\}$ and $U_1 = \{[x_0 : \dots : x_n] \mid x_1 \neq 0\}$, we can assume $p \in U_0, p \notin U_1$ and $q \in U_1, q \notin U_0$. Then $f|_{U_0 \setminus \{p\}}$ can be extended to U_0 by Lemma 1.6 and an analogous extension exists on U_1 . These extensions glue with f to a function $f : \mathbb{P}^n \rightarrow R$ which agrees with f on $\mathbb{P}^n \setminus \{p, q\}$. By Proposition 1.7, f is constant and therefore f is constant. This carries over to functions $\mathbb{P}^n \setminus \{p, q\} \rightarrow \text{Bool}$ since $\text{Bool} \subseteq R$ and thus also to any $\mathbb{P}^n \setminus \{p, q\} \rightarrow \mathbb{Z}$, which shows (ii). (i) and (iii) follow from (ii) and (iv). \square

We proceed by extending Proposition 3.4 to subspaces of \mathbb{P}^n which can be constructed like \mathbb{P}^1 :

Lemma 5.2 Let $M \subseteq R^{n+1}$ be a submodule with $\|M = R^2\|$. Then $\text{Gr}(1, M) \subseteq \mathbb{P}^n$ and the map

$$\begin{aligned} \mathbb{Z} \times K(R^\times, 1) &\rightarrow (\text{Gr}(1, M) \rightarrow K(R^\times, 1)) \\ (d, l_0) &\mapsto (L \mapsto L^{\otimes d}) && \text{for } d \geq 0 \\ (d, l_0) &\mapsto (L \mapsto \text{Hom}_{R\text{-Mod}}(L^{\otimes d}, R)) && \text{for } d < 0 \end{aligned}$$

is an equivalence.

Proof We prove a proposition, so we have an R -linear isomorphism $\phi : R^2 \rightarrow M$ and for each $d \in \mathbb{Z}$, we get a commutative triangle:

$$\begin{array}{ccc} \text{Gr}(1, M) & \xrightarrow{\mathcal{O}(d)} & K(R^\times, 1) \\ & \searrow \phi & \nearrow \mathcal{O}(d) \\ & \text{Gr}(1, R^2) & \end{array}$$

by restricting ϕ to each line in $\text{Gr}(1, R^2)$. This shows that the map from Proposition 3.4 and from the statement are equal as maps to $(V : R\text{-Mod}) \times \|V = R^2\| \times (V \rightarrow K(R^\times, 1))$, which proves the claim. \square

Theorem 5.3

The map

$$\begin{aligned} \mathbb{Z} \times K(R^\times, 1) &\rightarrow (\mathbb{P}^n \rightarrow K(R^\times, 1)) \\ (d, l_0) &\mapsto (x \mapsto l_0 \otimes \mathcal{O}(d)(x)) \end{aligned}$$

is an equivalence.

Proof It is enough to show that the map is surjective, by the same reasoning as in the proof of Proposition 3.4. Let $L : \mathbb{P}^n \rightarrow K(R^\times, 1)$. First we determine the degree of L . Let $p \neq q$ be points in \mathbb{P}^n and $M \subseteq R^{n+1}$ be the span of p and q as submodules of R^{n+1} . Then $\|M = R^2\|$ and we can use the inverse i of the map in Lemma 5.2 to define $d \equiv \pi_1(i(L|_{\text{Gr}(1, M)}))$. The integer d is independent of the choice of p and q : If we let p vary, we get a function of type $\mathbb{P}^n \setminus \{q\} \rightarrow \mathbb{Z}$ which is constant by Lemma 5.1. The same applies for q and the two subsets $\mathbb{P}^n \setminus \{p\}$ and $\mathbb{P}^n \setminus \{q\}$ cover \mathbb{P}^n .

In the following we consider only L such that d as constructed above is 0. This means that on each line $\text{Gr}(1, M)$, L will be constant. So for $p, x \in \mathbb{P}^n$, and $x \neq p$ we can construct an equality $P_x : L(x) = L(p)$ by restricting L to $\text{Gr}(1, \langle x, p \rangle)$ and applying Lemma 5.2. So we have $P : (x \in \mathbb{P}^n \setminus \{p\}) \rightarrow L(x) = L(p)$ and for $q \neq p$ we can construct $Q : (y \in \mathbb{P}^n \setminus \{q\}) \rightarrow L(y) = L(q)$ analogously.

The claim follows if we show that L is constant on all of \mathbb{P}^n . Since, overall, we show the proposition that the map from the statement merely has a preimage, we can assume $a : L(p) = R^1$ and $b : L(q) = R^1$ and get:

$$((x \in \mathbb{P}^n \setminus \{p, q\}) \mapsto a^{-1}P_x^{-1}Q_x b) : \mathbb{P}^n \setminus \{p, q\} \rightarrow R^\times$$

which is constantly λ by Lemma 5.1. So P and Q can be corrected using λ, a and b to yield a global proof of constancy of L . \square

Appendix 1: Horrocks' Theorem

We present an alternative constructive proof of the the following special case of Horrocks Theorem [Lam06; LQ15], for a commutative ring A .

Lemma 5.4 If an ideal of $A[X]$ divides a principal ideal (f) with f monic then it is itself a principal ideal.

Let I and J be such that $I \cdot J = (f)$. We can then write $f = \sum u_i v_i$ with u_i in I and v_i in J . We then have $I = (u_1, \dots, u_n)$ and $J = (v_1, \dots, v_n)$. The strategy of the proof is to build comaximal monoids S_1, \dots, S_l in A [LQ15] such that I is generated by a monic polynomial in each $A_{S_j}[X]$.

5.1 Formal computation of gcd

We start by describing a general technique introduced in [LQ15].

If we have a list u_1, \dots, u_n of polynomials over a field we can compute the gcd so that $(g) = (u_1, \dots, u_n)$ and g is 0 or a monic polynomial.

In general if we are now over a ring R , we can interpret this computation formally as follows. We build a binary tree of root R . At each node of the tree we have a f.p. extension A of R . If we want to decide whether an element a in R is invertible or 0² we open two branches: one with $A \rightarrow A/(a)$ (intuitively we force a to be 0) and the other with $A \rightarrow A_a = A[1/a]$ (intuitively we force a to be invertible).

In this way we have at each leaf a f.p. extension $R \rightarrow A$ and in A we have g , a monic polynomial in $A[X]$ or 0, such that $(g) = (u_1, \dots, u_n)$ in $A[X]$. Over each branch we have a list of elements a_1, \dots, a_n of R that we force to be invertible, and a list of elements b_1, \dots, b_m of R that we force to be 0. We associate to this branch the multiplicative monoid generated by $a_1 \dots a_n$ and $1 + (b_1, \dots, b_m)$. In this way, we build a list of monoids S_1, \dots, S_l that are *comaximal* [LQ15]: if s_i in S_i then $1 = (s_1, \dots, s_l)$.

5.2 Application to Horrocks' Theorem

We assume $f = \sum u_i v_i$ and $f p_{ij} = u_i v_j$ with $\sum p_{ii} = 1$ in $A[X]$. The goal is to build comaximal monoids S_1, \dots, S_l with I generated by a monic polynomial in $A_{S_j}[X]$.

We first build a binary tree which corresponds to the formal computation of the gcd of u_1, \dots, u_n as described above. To each branch we associate an element that we force to be invertible and a list of elements b_1, \dots, b_m that we force to be 0. We write S for the multiplicative monoid generated by a and $1 + (b_1, \dots, b_m)$. We also have a monic polynomial γ in $A_S[X]$ such that $I = (\gamma)$ in $A_S[X]/(b_1, \dots, b_m)$.

Note that $I = (u_1, \dots, u_n)$ contains f .

Lemma 5.5 If p is a polynomial in I which is monic in $A_S[X]/(b_1, \dots, b_m)$ of degree $< \deg(f)$ then there exists h monic in $A_S[X]$ and in (u_1, \dots, u_n) and such that $p = h \text{ mod } (b_1, \dots, b_m)$.

Proof (Same proof as in Lam [Lam06].) Let N be the degree of f . If I also contains a polynomial q which is monic mod. L of degree $N - 1$, we can kill all coefficients (in L) of degree $\geq N$ using f , and we get that I also contains a monic polynomial of degree $N - 1$ and equal to q mod. L . Similarly I will also contain a monic polynomial of degree $N - 2$, and so on, until we get h monic in (u_1, \dots, u_n) and equal to p mod. L . \square

By this Lemma, we get a monic polynomial h in (u_1, \dots, u_n) in $A_S[X]$ and such that $I = (h)$ in $A_S[X]/(b_1, \dots, b_m)$.

Lemma 5.6 $I = (h)$ in $A_S[X]$.

Proof Let L be (b_1, \dots, b_m) in $A_S[X]$. Since I contains $I \cap L$ and $I \cdot J = (f)$ with f regular, we can find K such that $I \cdot K = I \cap L$. We then have $I \cdot K = 0 \text{ mod. } L$ and hence $K = 0 \text{ mod. } L$ since I contains f which is monic. This means $I \cap L = I \cdot L$. Then we have $I = (h) + I \cdot L$. The result then follows from the fact that h is monic and from Nakayama, as in Lam [Lam06]: the module $M = I/(h)$ is a finitely generated module over A_S and satisfies $M \subseteq ML$. \square

Corollary 5.7 We can find comaximal elements s_1, \dots, s_l such that I is principal and generated by a monic polynomial in each $A_{S_j}[X]$. Since these monic polynomials are uniquely determined we can patch these generators and get that I is principal in $A[X]$ ³.

²A priori it is an element of A , but we can always assume that this element comes from an element of R .

³If A is not connected, the generator of I may not be monic: if $e(1 - e) = 1$ then the ideal $(eX + (1 - e))$ divides the ideal (X) .

Appendix 2: Quillen Patching

We reproduce the argument in Quillen's paper [Qui76], as simplified in [LQ15]. This technique of Quillen Patching has been replaced by the equivalence in Proposition 3.4.

If P and Q are two idempotent matrix of the same size, let us write $P \simeq Q$ for expressing that P and Q presents the same projective module (which means that there are similar, which is in this case is the same as being equivalent).

If we have a projective module on $A[X]$, presented by a matrix $P(X)$, this module is extended precisely when we have $P(X) \simeq P(0)$.

Lemma 5.8 If S is a multiplicative monoid of A and $P(X) \simeq P(0)$ on $A_S[X]$ then there exists s in S such that $P(X + sY) \simeq P(X)$ in $A[X]$.

Lemma 5.9 The set of s in A such that $P(X + sY) \simeq P(X)$ is an ideal of A .

Corollary 5.10 If we have M projective module of $A[X]$ and S_1, \dots, S_n comaximal multiplicative monoids of A such that each $M \otimes_{A[X]} A_{S_i}[X]$ is extended from A_{S_i} then M is extended from A .

Let us reformulate in synthetic term this result. Let A be a f.p. R -algebra and $L : \text{Spec}(A) \rightarrow BG_m^{\mathbb{A}^1}$. Then L corresponds to a projective module of rank 1 on $A[X]$. We can form

$$T(x) = \prod_{r \in R} L x r = L x 0$$

and $\|T(x)\|$ expresses that $L x$ defines a trivial line bundle on $\mathbb{A}^1 = \text{Spec}(R[X])$. It is extended exactly when we have $\|\prod_{x \in \text{Spec}(A)} T(x)\|$. We can then use Zariski local choice to state.

Proposition 5.11 We have the implication $(\prod_{x \in \text{Spec}(A)} \|T(x)\|) \rightarrow \|\prod_{x \in \text{Spec}(A)} T(x)\|$.

Appendix 3: Classical argument

We reproduce a message of Brian Conrad in MathOverflow [Con].

“We know that the Picard group of projective $(n - 1)$ -space over a field k is \mathbb{Z} generated by $\mathcal{O}(1)$. This underlies the proof that the automorphism group of such a projective space is $\mathrm{PGL}_n(k)$. But what is the automorphism group of $\mathbb{P}^{n-1}(A)$ for a general ring A ? Is it $\mathrm{PGL}_n(A)$? It’s a really important fact that the answer is yes. But how to prove it? It’s a shame that this isn’t done in Hartshorne.

By an elementary localization, we may assume A is local. In this case we claim that $\mathrm{Pic}(\mathbb{P}^{n-1}(A))$ is infinite cyclic generated by $\mathcal{O}(1)$. Since this line bundle has the known A -module of global sections, it would give the desired result if true by the same argument as in the field case. And since we know the Picard group over the residue field, we can twist to get to the case when the line bundle is trivial on the special fiber. How to do it?

Step 0: The case when A is a field. Done.

Step 1: The case when A is Artin local. This goes via induction on the length, the case of length 0 being Step 0 and the induction resting on cohomological results for projective space over the residue field.

Step 2: The case when A is complete local noetherian ring. This goes using Step 1 and the theorem on formal functions (formal schemes in disguise).

Step 3: The case when A is local noetherian. This is faithfully flat descent from Step 2 applied over A^\wedge .

Step 4: The case when A is local: descent from the noetherian local case in Step 3 via direct limit arguments.

QED”

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